



SECTOR #

PATENT  
P56585

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SUNG-LYONG LEE *et al.*

Serial No.: 09/955,301

Examiner: *to be assigned*

Filed: 19 September 2001

Art Unit: 2833

For: APPARATUS AND METHOD FOR CONNECTING BASE MODULE AND  
FUNCTION-EXTENDING MODULE IN AV SYSTEM

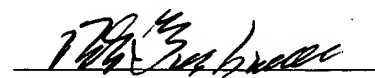
**RESPONSE TO NOTICE TO FILE MISSING PARTS**

The Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

Applicants submits herewith the original Notice to File Missing Parts of Application dated 23 October 2001 and Applicant's **check** drawn to the order of the Commissioner of the Patents in the total amount of **\$870.00**. The Commissioner is authorized to charge Deposit Account No. 02-4943 should any underpayment occur.

Respectfully submitted,

  
Robert E. Bushnell  
Reg. No.: 27,774  
Attorney for the Applicant

1522 "K" Street, N.W., Suite 300  
Washington, D.C. 20005  
(202) 408-9040

Folio: P56585  
Date: 11/7/01  
I.D.: REB/sys

0955301-11001  
TO:OTF-1055660



#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**

Patent fees are subject to annual revision.

**Complete If Known**

Application Number	09/955,301
Filing Date	19 September 2001
First Named Inventor	SUNG-LYONG LEE
Examiner Name	to be assigned
Group/Art Unit	2833
Attorney Docket No.	P56585

TOTAL AMOUNT OF PAYMENT

(\$)910.00**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

**FEE CALCULATION (continued)**Deposit Account Number: 02-4943  
Deposit Account Number: \_\_\_\_\_

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	\$ 130.00
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For filing a request for reexamination	\$
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	\$
113	1,840 *	113	1,840*	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Extension for reply within first month	\$
116	400	216	200	Extension for reply within second month	\$
117	920	217	460	Extension for reply within third month	\$
118	1,440	218	720	Extension for reply within fourth month	\$
128	1,960	228	950	Extension for reply within fifth month	\$
119	320	219	160	Notice of Appeal	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	221	140	Request for oral hearing	\$
138	1,510	138	1,510	Petition to institute a public use proceeding	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,280	241	640	Petition to revive - unintentional	\$
142	1,280	242	640	Utility issue fee (or reissue)	\$
143	460	243	230	Design issue fee	\$
144	620	244	310	Plant issue fee	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Processing fee for provisional applications	\$
126	180	126	180	Submission of Information Disclosure Statement	\$
581	40	581	40	Recording each patent assignment per property (Times number of properties)	\$ 40.00
146	740	246	370	Filing a submission after final rejection (37 C.F.R. §1.129(a))	\$
149	740	249	370	For each additional invention to be examined (37 C.F.R. §1.129(b))	\$
Other Fee (specify) _____					\$
Other Fee (specify) _____					\$
** Reduced by Basic Filing Fee Paid					
<b>SUBTOTAL (3)</b>					<b>\$ 170.00</b>

- 2.
- ☒
- Payment Enclosed:**
- 
- (CHECKS #40576 & #40577)
- 
- ☒
- Check
- ☐
- Credit Card
- ☐
- Money Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	\$ 740.00
106	330	206	165	Design filing fee	\$
107	510	207	255	Plant filing fee	\$
108	740	208	370	Reissue filing fee	\$
114	160	214	80	Provisional filing fee	\$
<b>SUBTOTAL (1)</b>					<b>(\$)<u>740.00</u></b>

**2. EXTRA CLAIM FEES**

			Extra Claims	Fee from below	Fee Paid
Total claims, 15	-20** = 0	x	18	= 0	
Independent Claims 3	-3** = 0	x	84	= 0	

Multiple Dependent =

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

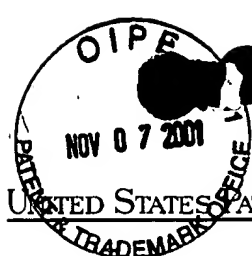
**SUBTOTAL (2)** (\$)0.00**SUBMITTED BY**

Typed or Printed Name	Robert E. Bushnell, Esq.		Complete (if applicable)	
Signature			R g. Number	27,774
Date	7 November 2001	Deposit Account User ID		

REB/sys

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 .

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/955,301	09/19/2001	Sung-Lyong Lee	P56585

CONFIRMATION NO. 8147

## FORMALITIES LETTER



\*OC00000006954052\*

Robert E. Bushnell  
Suite 300  
1522 K Street, N.W.  
Washington, DC 20005

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/08/2001 BNGUYEN1 00000073 09955301

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP

TO: 09/19/2001



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PATENT  
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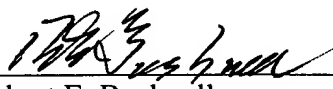
Art Unit: 2833

For: APPARATUS AND METHOD FOR CONNECTING BASE MODULE AND  
FUNCTION-EXTENDING MODULE IN AV SYSTEM**TRANSMITTAL OF DECLARATION**The Assistant Commissioner  
of Patents  
Washington, D.C. 20231

Sir:

Accompanying this transmittal is a Declaration for the above-referenced application.

Respectfully submitted,

  
Robert E. Bushnell  
Reg. No.: 27,774  
Attorney for the Applicant1522 "K" Street, N.W., Suite 300  
Washington, D.C. 20005-1202  
(202) 408-9040  
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